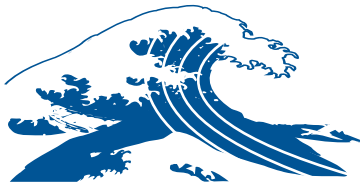


Personal Information

**CLIENT INFORMATION
QUESTIONNAIRE**



**Coast Wealth
MANAGEMENT INC.**

Personal and Confidential

Personal Information

Personal Information

	Client		Spouse
Full Name			
Address City / State / Zip			
Phone Numbers	(H) (W) (C)		(H) (W) (C)
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female		<input type="checkbox"/> Male <input type="checkbox"/> Female
Social Security #			
Date of Birth	____ / ____ / ____		____ / ____ / ____
City and State of Birth			
Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Separated <input type="checkbox"/> Widowed		<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Separated <input type="checkbox"/> Widowed
Email Address	_____		_____
Dependents Name / Date of Birth Social Security #	1. _____ / __ / __ 2. _____ / __ / __ 3. _____ / __ / __	→	SSN# ____ - ____ - ____ SSN# ____ - ____ - ____ SSN# ____ - ____ - ____
Employment Status	<input type="checkbox"/> Retired <input type="checkbox"/> Employed <input type="checkbox"/> Business Owner <input type="checkbox"/> Homemaker <input type="checkbox"/> Not Currently Employed		<input type="checkbox"/> Retired <input type="checkbox"/> Employed <input type="checkbox"/> Business Owner <input type="checkbox"/> Homemaker <input type="checkbox"/> Not Currently Employed
Employer			
Employer Address City / State / Zip			
Employment Income	\$ _____		\$ _____
Other Pre-Retirement Income <i>(non investment)</i>	\$ _____		\$ _____
Driver's License #	_____		_____

Personal Information

Prior Investment Experience Indicate H, M, or L H = High M = Moderate L = Low	Stocks ___ Bonds ___ Mutual Funds ___ Annuities ___ Insurance ___ Foreign Stocks ___ Hedge Funds ___ REIT's ___	Stocks ___ Bonds ___ Mutual Funds ___ Annuities ___ Insurance ___ Foreign Stocks ___ Hedge Funds ___ REIT's ___
Do you have any of the following? Power of Attorney Will Living Will Living Trust	Yes No Yes No Yes No Yes No	Yes No Yes No Yes No Yes No

How did you hear about us?

What are your financial concerns?

Do you currently manage your own portfolio? **YES** **NO**

How do you think we might help you?

Additional Comments

Retirement Income

Social Security

Client

Spouse

Age to Begin Taking SS _____

Client - Select one Option:

- Use this amount: \$_____ per month *(pre-tax, current dollars)*
 Use the Planner Estimate *(based on current employment income)*
 Use this percentage of the Planner Estimate: 75% 50% 25% 0%
 I am ineligible for Social Security Benefits
Assign to Goal: One Goal - _____ Priority Order

Spouse - Select one Option:

- Use this amount: \$_____ per month *(pre-tax, current dollars)*
 Use the Planner Estimate *(based on current employment income)*
 Use this percentage of the Planner Estimate: 75% 50% 25% 0%
 I am ineligible for Social Security Benefits
Assign to Goal: One Goal - _____ Priority Order

Pensions

Whose Pension: Client Spouse Description: _____

Income Begins: Client Retires Spouse Retires Receiving Now Year _____

Monthly Amount of Benefit *(estimate of pre-tax future value)*: \$_____

Will this Amount Inflate? No Yes, Base Inflation Rate Yes, Base Inflation Rate +/- _____%
(Note: Inflation will begin in the year payments start.)

Survivor Benefit: _____%

Assign to Goal: One Goal - _____ Priority Order

Whose Pension: Client Spouse Description: _____

Income Begins: Client Retires Spouse Retires Receiving Now Year _____

Monthly Amount of Benefit *(estimate of pre-tax future value)*: \$_____

Will this Amount Inflate? No Yes, Base Inflation Rate Yes, Base Inflation Rate +/- _____%
(Note: Inflation will begin in the year payments start.)

Survivor Benefit: _____%

Assign to Goal: One Goal - _____ Priority Order

Part-time Work

Whose Income: Client Spouse Description: _____

Income Begins: Client Retires Spouse Retires Receiving Now Year _____

Number of Years: _____ Income When Work Begins: \$_____ *(monthly, pre-tax, current dollars)*

Will this Amount Inflate? No Yes, Base Inflation Rate Yes, Base Inflation Rate +/- _____%

Assign to Goal: One Goal - _____ Priority Order

Retirement Income

Annuity Income

Whose Annuity: Client Spouse Description: _____

Income Begins: Client Retires Spouse Retires Receiving Now Year _____

Amount of Annuity Payments: \$ _____ (monthly, pre-tax, future value)

Will this Amount Inflate? No Yes, Base Inflation Rate Yes, Base Inflation Rate +/- _____%

Annuity payments will end: (Choose one option)

- Payments are guaranteed for a set number of years. (Term Certain)
Enter the year payments will end _____
- Payments are guaranteed for the lifetime of the owner only.
Payments cease when the owner dies. (Life Only)
- Payments are guaranteed for the life of the owner and continue to be made to the beneficiary at a reduced rate after the owners death. Enter the percentage of the original payment that will be made to the survivor. (Joint and Survivor annuity.) _____% of payment

Assign to Goal: One Goal - _____ Priority Order

Other Retirement Income (such as Rental Income, Alimony, etc.)

Whose Income: Client Spouse Description: _____

Income Begins: Client Retires Spouse Retires Receiving Now Year _____

Income Ends: End of Client's Plan End of Spouse's Plan End of Plan Year _____

Monthly Amount: \$ _____ (pre-tax, today's dollars) Is this income tax-free? No Yes

Will this Amount Inflate? No Yes, Base Rate Yes, Base Rate +/- _____%

Assign to Goal: One Goal - _____ Priority Order

Whose Income: Client Spouse Description: _____

Income Begins: Client Retires Spouse Retires Receiving Now Year _____

Income Ends: End of Client's Plan End of Spouse's Plan End of Plan Year _____

Monthly Amount: \$ _____ (pre-tax, today's dollars) Is this income tax-free? No Yes

Will this Amount Inflate? No Yes, Base Rate Yes, Base Rate +/- _____%

Assign to Goal: One Goal - _____ Priority Order

Investment Assets

Taxable

Who is the owner: Client Spouse Joint Custodial
If Joint, what kind? Survivorship Common Entirety Community Property
 Other w/ Client Other w/ Spouse

Description: _____

Current Value: \$ _____ Cost Basis: \$ _____

Assign to Goal(s): (Check one)

One Goal: _____ Priority Order Leave to Estate Not Used in Plan
 Multiple Goals: _____

Annual Additions: (Check one)

Additions: \$ _____ Inflate? No Yes

Year Additions Begin: _____

Year Additions End: Client Retires Spouse Retires Year _____

Asset Class Distribution:

Cash _____% Short _____% Int _____% Long _____% LC Val _____%
LC Gro _____% SC _____% Int'l Dev _____% Int'l Emer _____% Other _____%

Real Estate

Who is the owner: Client Spouse Joint Custodial
If Joint, what kind? Survivorship Common Entirety Community Property
 Other w/ Client Other w/ Spouse

Description: _____

Current Value: \$ _____ Cost Basis: \$ _____

Approximate Loan Balance: \$ _____ Monthly Payment: \$ _____

Original Loan Amount: \$ _____ Interest Rate: _____

Original Loan Date: ___ / ___ / ___ Term: _____

2nd Home, vacation home, or investment property

Who is the owner: Client Spouse Joint Custodial
If Joint, what kind? Survivorship Common Entirety Community Property
 Other w/ Client Other w/ Spouse

Description: _____

Current Value: \$ _____ Cost Basis: \$ _____

Approximate Loan Balance: \$ _____ Monthly Payment: \$ _____

Original Loan Amount: \$ _____ Interest Rate: _____

Original Loan Date: ___ / ___ / ___ Term: _____

Legend For Asset Class Distribution

Cash = Cash & Cash Equivalents
Long = Long Term Bonds
SC = Small Cap Stocks
Other = All Other Asset Classes

Short = Short Term Bonds
LC Val = Large Cap Value Stocks
Int'l Dev = International Developed Stocks

Int = Intermediate Term Bonds
LC Gro = Large Cap Growth Stocks
Int'l Emer = International Emerging Stocks

Investment Assets

Tax-Free

Who is the owner: Client Spouse Joint Custodial

If Joint, what kind? Survivorship Common Entirety Community Property
 Other w/ Client Other w/ Spouse

Description: _____

Current Value: \$ _____ Cost Basis: \$ _____

Assign to Goal(s): *(Check one)*

One Goal: _____ Priority Order Leave to Estate Not Used in Plan
 Multiple Goals: _____

Annual Additions: *(Check one)*

Additions: \$ _____ Inflate? No Yes

Year Additions Begin: _____

Year Additions End: Client Retires Spouse Retires Year _____

Asset Class Distribution:

Cash _____% Short _____% Int _____% Long _____% LC Val _____%
LC Gro _____% SC _____% Int'l Dev _____% Int'l Emer _____% Other _____%

Legend For Asset Class Distribution

Cash = Cash & Cash Equivalents
Long = Long Term Bonds
SC = Small Cap Stocks
Other = All Other Asset Classes

Short = Short Term Bonds
LC Val = Large Cap Value Stocks
Int'l Dev = International Developed Stocks

Int = Intermediate Term Bonds
LC Gro = Large Cap Growth Stocks
Int'l Emer = International Emerging Stocks

Investment Assets

Tax-Deferred

Who is the owner: Client Spouse

Description: _____

Current Value: \$ _____

Cost Basis: \$ _____

Assign to Goal(s): *(Check one)*

- One Goal: _____ Priority Order Leave to Estate Not Used in Plan
 Multiple Goals: _____

Annual Additions: *(Check one)*

Additions: \$ _____ Inflation? No Yes

Year Additions Begin: _____

Year Additions End: Client Retires Spouse Retires Year _____

Asset Class Distribution:

Cash _____% Short _____% Int _____% Long _____% LC Val _____%
LC Gro _____% SC _____% Int'l Dev _____% Int'l Emer _____% Other _____%

Legend For Asset Class Distribution

Cash = Cash & Cash Equivalents
Long = Long Term Bonds
SC = Small Cap Stocks
Other = All Other Asset Classes

Short = Short Term Bonds
LC Val = Large Cap Value Stocks
Int'l Dev = International Developed Stocks

Int = Intermediate Term Bonds
LC Gro = Large Cap Growth Stocks
Int'l Emer = International Emerging Stocks